

Attorney Docket No.: SAN 18.676A (100946-00056)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : MICHIKAZU HORIE
Filed : Concurrently herewith
Title : OPTICAL RECORDING MEDIUM, DATA RECORDING...
Examiner : THANG V. TRAN
Group Art Unit: 2653

October 15, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

S I R:

In order to comply with discretionary rules 37 CFR §§1.97 and 1.98, attached hereto is a copy of Form PTO-1449. Copies of such documents were made of record in the parent U.S. Application 09/942,277, filed August 27, 2001, from which the present application is a continuation. These documents contain information which the Examiner may consider to be important in deciding whether to issue a patent in the instant application.

As this Statement is being filed prior to issuance of a first Office Action, no fee is due.

The present Disclosure Statement is being submitted in compliance with 37 CFR §1.56 as an Examiner might consider any cited document important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the


Filed by Express Mail
(Receipt No. EL98018398048)
on 10-15-2003
pursuant to 37 C.F.R.1.10.
by Francis Dwyer

Examiner in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR §§1.104 (a) and 1.106 (b), and in the course of such search will review for relevance every document cited on the attached form even if not initialed.

Early and favorable consideration is respectfully solicited.

Charge any fee due with this paper to Deposit Account 50-1290.

Respectfully submitted,



Brian S. Myers
Reg. No. 46,947

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Application No. :
Filing Date : CONCURRENTLY HEREWITH
First Named Inventor: M. HORIE
Group Art Unit : 2653
Examiner Name : T.V. TRAN
Attorney Docket No. : SAN 18.676A

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document	Kind Code if known ²	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
		5,173,886		SATOH, et al.	12/22/1992	
		6,310,854		SATO, et al.	10/30/2001	
		5,694,381		SAKO	12/02/1997	
		6,078,559		MATSUSHITA ELEC. IND. CO. LTD	06/20/2000	
		5,721,856		MITSUMI ELEC. CO. LTD.	02/24/1998	

Cite No. ¹	Foreign Patent Document Office ³ Number ⁴ Kind Code ⁵ (if known)	Country	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY/Y	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
	0 626 646	EP	FUJITSU LIMITED	11/30/1994	
	1 056 077	EP	MITSUBISHI CHEMICAL CORPORATION	11/29/2000	
	11-176020	JP	YAMAHA CORP.	07/02/1999	
	EP 0712130A1	EP	MITSUMI ELEC. CO. LTD	05/15/1996	
	2001-043619	JP	RICOH LTD.	02/16/2001	
	2000-285463	JP	SONY CORP.	10/13/2000	
	63-000833	JP	MATSUSHITA ELEC. IND. CO. LTD.	01/05/1988	
	03-097046	JP	VICTOR CO. OF JAPAN LTD.	04/23/1991	
	03-217972	JP	HITACHI LTD.	09/25/91	
	09-055034	JP	TOSHIBA CORP.	02/25/1997	
	WO98/18121	PCT	MATSUSHITA ELEC. IND. CO. LTD.	04/30/1998	
	EP1006515A1	EP	MATSUSHITA ELEC. IND. CO. LTD.	06/07/2000	
	EP1006514A1	EP	MATSUSHITA ELEC. IND. CO. LTD.	06/07/2000	

Examiner Signature	Date Considered
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Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1⁶ if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 2 of 2

Application No. :
Filing Date : Concurrently herewith
First Named Inventor: B. MOHEBBI
Group Art Unit : 2685
Examiner Name : M. MILORD
Attorney Docket No. : FUJL 17.218A

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document	Kind Code if known ²	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear

FOREIGN DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document Office ³ Number ⁴ Kind Code ⁵ (if known)	Country	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY/Y	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
		EP1006514A1	EP	MATSUSHITA ELEC. IND. CO. LTD.	06/07/2000	
		EP0936601A1	EP	MATSUSHITA ELEC. IND. CO. LTD.	08/18/1999	
		02-294933	JP	VICTOR CO. OF JAPAN LTD.	12/05/1990	
		11-039718	JP	TOSHIBA CORP.	02/12/1999	
		63-291227	JP	HITACHI LTD.	11/29/1988	
		08-147702	JP	MITSUMI ELEC. CO. LTD.	06/07/1996	
		2000-076076	JP	RICOH CO. LTD.	03/14/2000	
		2,161,922	CA	T. TAKEUCHU	05/12/1996	

Other Prior Art-Non Patent Literature Documents

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, country, where published, source.	Applicant check her if English language translation attached
		IEC 908 "AUDIO RECORDING -COMPACT DISC DIGITAL AUDIO SYSTEM" 2 ND EDITION. 1990-02	
		ECMA "VOLUME AND FILE STRUCTURE OF CDROM FOR INFORMATION INTERCHANGE, 2 ND EDITION DECEMBER 1987	
Examiner Signature		Date Considered	

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1⁶ if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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